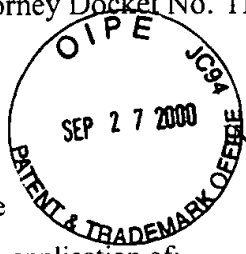


Information Disclosure Statement  
Serial No. 09/481,126  
Attorney Docket No. TEQ 1117002

#5  
2468



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: Douglas R. Elliott

For: **METHOD FOR OBTAINING AND ALLOCATING INVESTMENT INCOME BASED ON THE  
CAPITALIZATION OF INTELLECTUAL PROPERTY**

U.S. Serial No.: 09/481,126  
Filed: January 11, 2000  
Group Art Unit: 2768

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Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on <u>9-22-00</u>	
<u>Yanela O. CARPENTER</u>	
Typed or Printed Name	
<u>Yanela O. Carpenter</u>	
Signature	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants wish to bring the following items to the attention of the Examiner. A copy of each item is enclosed for the convenience of the Examiner.

The listed references were cited in the International Search Report issued by ISA/EP mailed on **September 4, 2000**, in connection with the counterpart foreign application **PCT/US00/00760**.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects the relative pertinence. The references cited below are not intended to constitute an admission of any kind. Specifically, this

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presentation is not an admission that the items listed below are properly citable against the above-identified application.

Applicants consider the invention to be distinguishable over the references cited above.

As this Information Disclosure Statement is being filed before the mailing of a first Office action and within three (3) months after receipt of a communication from a foreign patent office no fee is incurred. However, if any fee (other than an issue fee) is required during pendency of this U.S. patent application, please charge it to Deposit Account No. 50-0506 of CARR & STORM, L.L.P.

Respectfully submitted,  
CARR & STORM, L.L.P.



Robin L. Barnes  
Registration No. 45,936

Date 9/22/00  
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